

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

KOUZARIDES

Atty. Ref.: 620-118

Serial No.: 09/700,417

Group Art Unit:

Filed: November 29, 2000

Examiner:

For: ASSAYS, METHODS AND MEANS FOR MODULATING E2F ACTIVITY

\* \* \* \* \*

December 29, 2000

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Hon. Commissioner of Patents  
and Trademarks  
Washington, DC 20231

Sir:

In response to the Notification of Missing Requirements dated November 29, 2000, attention is directed to the fact that the inventor's declaration was in fact filed on November 29, 2000. A copy of the papers filed November 29, 2000, is enclosed, together with a copy of the postcard receipt evidencing that filing.

Acknowledgement of receipt of the foregoing is requested.

Respectfully submitted,

NIXON &amp; VANDERHYE, P.C.

By Mary J. Wilson  
Mary J. Wilson  
Reg. No. 32,955

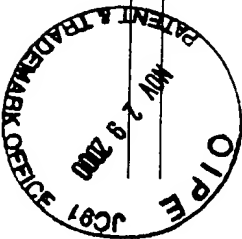
MJW:tat

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FORM PTO-1390 (REV 11-98)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>620-118</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>09/700,417</b>
INTERNATIONAL APPLICATION NO. <b>PCT/GB99/01571</b>	INTERNATIONAL FILING DATE <b>17 May 1999</b>	PRIORITY DATE CLAIMED <b>15 May 1998</b>
TITLE OF INVENTION <b>ASSAYS, METHODS AND MEANS FOR MODULATING E2F ACTIVITY</b>		
APPLICANT(S) FOR DO/EO/US <b>KOUZARIDES</b>		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li><input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li><input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.</li> <li>A copy of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li><input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).             <ol style="list-style-type: none"> <li><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been transmitted by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p>Items 11. To 16. Below concern document(s) or information included:</p> <ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</li> <li><input type="checkbox"/> A <b>FIRST</b> preliminary amendment. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input type="checkbox"/> Other items or information.</li> </ol>		

- 2 -

Serial No.: 09/400,417  
Applicant: 156027A10ES  
Title: ASSAYS METHODS AND  
MEANS FOR MODULATING  
ACTIVITY  
Amendment  
Pages Specification  
Claims  
Sheets Drawings: Formal  
Declaration (1 Informal Pages)  
Assignment  
Priority Document  
Base Issue Fee Transmittal  
Fee (Check)



Other: TRANSMITTAL LETTER FILING  
COMPLETION

Atty: MJSW  
Date: 11-29-2000  
Client: DEARBORN...  
Ref: 620-118

RECEIVED

MAR 22 2001

TECH CENTER 1600/2900

**FAX RECEIVED****JAN 02 2001****GROUP 1600****Nixon & Vanderhye PC.**

ATTORNEY AT LAW

8TH FLOOR  
1100 NORTH GLEBE ROAD  
ARLINGTON, VIRGINIA 22201-4714TELEPHONE: (703) 816-4000  
FACSIMILE: (703) 816-4100  
WRITER'S DIRECT DIAL NUMBER  
**(703) 816-4011****OFFICIAL*****FACSIMILE COVER SHEET***  
**PLEASE DELIVER IMMEDIATELY!!!!**Our Ref.: 620-118Your Ref.: \_\_\_\_\_ Date: December 29, 2000To: Attn: Ms. Anita D. Johnson – PCT BranchFirm: USPTOFacsimile No.: (703) 308-4242From: Mary J. WilsonNumber of Pages (including cover sheet): 9(IF YOU DO NOT RECEIVE ALL OF THE PAGES OR ENCOUNTER DIFFICULTIES IN TRANSMISSION,  
PLEASE CONTACT US IMMEDIATELY AT (703-816-4000).Tabitha A. Trice  
FACSIMILE OPERATOR**ATTACHMENT/S: OFFICIAL RESPONSE TO NOTIFICATION OF MISSING  
REQUIREMENTS, COPY OF NOTIFICATION OF MISSING  
REQUIREMENTS WITH COPY OF DOCUMENTS FILED  
NOVEMBER 29, 2000 AND POSTCARD RECEIPT****MESSAGE:**

In re PATENT APPLICATION OF:

**KOUZARIDES**

Serial No.: 09/700,417

Filed: November 29, 2000

For: ASSAYS, METHODS AND MEANS FOR MODULATING E2F ACTIVITY

**CONFIDENTIALITY NOTE**

The documents accompanying this facsimile transmission contain information belonging to Nixon & Vanderhye, which is confidential and/or legally privileged. This information is only intended for the use of the individual or entity named above. **IF YOU ARE NOT THE NAMED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISCLOSURE, COPYING, DISTRIBUTION OR TAKING OF THIS INFORMATION FOR ANY USE WHATSOEVER IS STRICTLY PROHIBITED.** If you have received this facsimile in error, please immediately contact us by telephone to arrange for return of the original documents to us.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Atty Dkt. 620-118

KOUZARIDES

Group Art Unit:

C# M#

Serial No. 09/700,417

Examiner:

Filed: November 29, 2000

Date: December 29, 2000

Title: ASSAYS, METHODS AND MEANS FOR MODULATING E2F ACTIVITY

FAX RECEIVED

JAN 02 2001

GROUP 1800

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**RESPONSE TO NOTICE OF MISSING REQUIREMENTS**

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

**Fees are attached as calculated below:**

Total effective claims after amendment 0 minus highest number  
previously paid for 20 (at least 20) = 0 x \$ 18.00 \$ 0.00

Independent claims after amendment 0 minus highest number  
previously paid for 3 (at least 3) = 0 x \$ 80.00 \$ 0.00

If proper multiple dependent claims now added for first time, add \$270.00 (ignore improper) \$ 0.00

Petition is hereby made to extend the current due date so as to cover the filing date of this  
paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months) \$ 0.00

Terminal disclaimer enclosed, add \$ 110.00 \$ 0.00

☐ First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$710.00) \$ 0.00  
☐ Please enter the previously unentered , filed  
☐ Submission attached

☐ Request for Continued Examination pursuant to 37 C.F.R. § 1.114 (\$710.00) \$ 0.00  
☐ Please enter the previously unentered , filed  
or ☐ Required submission attached

**Subtotal \$ 0.00**

If "small entity," then enter half (1/2) of subtotal and subtract -\$ 0.00  
☐ This application is entitled to "Small entity" status. ☐ "Small entity" statement attached.

Rule 56 Information Disclosure Statement Filing Fee (\$180.00) \$ 0.00

Assignment Recording Fee (\$40.00) \$ 0.00

Other: 0.00

**TOTAL FEE ENCLOSED \$ 0.00**

The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

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NIXON & VANDERHYE P.C.  
By Atty: Mary J. Wilson, Reg. No. 32,955

Signature: Mary J. Wilson



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: ASSISTANT COMMISSIONER FOR PATENTS  
Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO. 09/700417	FIRST NAMED APPLICANT KOUZARIDES	ATTY. DOCKET NO. 620-118
NIXON & VANDERHYE 8TH FLOOR 1100 NORTH GLEBE ROAD ARLINGTON, VA 22201		
INTERNATIONAL APPLICATION NO. PCT/GB99/01571		
IA FILING DATE 17 MAY 99	PRIORITY DATE 15 MAY 98	
DATE MAILED 29 NOV 2000		

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as:
- ☐ a Designated Office (37 CFR 1.494);
  - ☒ an Elected Office (37 CFR 1.495);
  - ☒ U.S. Basic National Fee;
  - ☒ Copy of the international application in:
    - ☐ a non-English language;
    - ☒ English;
  - ☒ Translation of the international application into English;
  - ☐ Oath or Declaration of inventors(s) for DO/EO/US;
  - ☐ Copy of Article 19 amendments;
  - ☐ Translation of Article 19 amendments into English;
  - ☒ The International Preliminary Examination Report in English and its Annexes, if any;
  - ☐ Translation of Annexes to the International Preliminary Examination Report into English;
  - ☒ Preliminary amendment(s) filed 15 NOV 2000 and
  - ☒ Information Disclosure Statement(s) filed 15 NOV 2000 and
  - ☐ Assignment document;
  - ☐ Power of Attorney and/or Change of Address;
  - ☐ Substitute specification filed
  - ☐ Verified Statement Claiming Small Entity Status;
  - ☒ Priority Document;
  - ☒ Copy of the International Search Report ☐ and copies of the references cited therein;
  - ☐ Other:
2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
    - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
  - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
    - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
3. Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed:

☐ PCT/DO/EO/917

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

☐ Notice of Defective Translation

Anita O. Johnson

Telephone: 703-305-3661

DOCKETED

CLT/MATTER # 6020-118  
MAIL DATE 11/29/00  
DUE DATE DEC 29, 2000  
FINAL DEADLINE May 29, 2001  
DOCKETED BY MR/MJS